

211/20/01  
JPM

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of : GOLDMAN et al  
Serial No. : 09/633,824 ✓  
Filed : 7 August 2000 ✓  
For : Strategic Method for Process Control  
Art Unit  
Examiner :  
Attorney Docket No. : 01/21855 (2104/3)



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**INFORMATION DISCLOSURE STATEMENT**

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Sir:

In accordance with 37 CFR 1.97, we enclose a copy of the following PTO Form SB/08A listing references which may be material to the patentability of the present application.

Also enclosed are copies of the references cited. These are being submitted in compliance with the duty of disclosure defined in 37 CFR 1.56. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement under 37 CFR 1.56 is not to be construed as a representation that a search has been made, that additional matter which is material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sol Sheinbein".

Sol Sheinbein  
Registration No. 25,457  
Attorney for Applicant

Date: October 25, 2001

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Substitute for form 1449A/PTO			Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			Application Number	09/633,824	
			Filing Date	7 August 2000	
			First Named Inventor	GOLDMAN et al	
			Group Art Unit		
			Examiner Name		
Sheet	1	of	3	Attorney Docket Number	01/21855 (2104/3)

U.S. PATENT DOCUMENTS						
Examiners Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
/WS/	AA	4,970,658		Durbin et al	11-13-1990	<b>RECEIVED</b> <b>NOV 16 2001</b> <b>Technology Center 2100</b>
/WS/	AB	5,325,466		Kornacker	06-28-1994	
/WS/	AC	5,440,478		Fisher et al	08-08-95	
/WS/	AD	5,479,340		Fox et al	12-26-1995	
/WS/	AE	5,483,468		Chen et al	01-09-1996	
/WS/	AF	5,546,507		Staub	08-13-1996	
/WS/	AG	5,787,425		Bigus	07-28-1998	
/WS/	AH	5,862,054		Li	01-19-1999	
/WS/	AI	5,875,285		Chang	02-23-1999	
/WS/	AJ	6,032,146		Chadha et al	02-29-2000	
/WS/	AK	6,073,138		de l'Etraz et al	06-06-2000	
/WS/	AL	6,134,555		Chadha et al	10-17-2000	
/WS/	AM	6,240,329		Sun	05-29-2001	
/WS/	AN	6,249,712		Boiquaye	06-19-2001	
/WS/	AO	6,263,255		Tan et al	07-17-2001	

FOREIGN PATENT DOCUMENTS								
Examiners Initials	Cite No. <sup>1</sup>	Foreign Patent Documents			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
/WS/	AP	WO	00/00874		Advanced Micro Devices, Inc.	01-06-2000		

Examiner Signature	/Wilbert L. Starks, Jr./	Date Considered	07/06/2008
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	3	Attorney Docket Number	01/21855 (2104/3)

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			RECEIVED NOV 16 2001 Technology Center 2100
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	
/WS/	AQ	Constructivist Foundations of Modeling – A Kantian Perspective, Marco C. Bettoni, Internat. Journal of Intelligent Systems, Vol. 12, No. 8, August 1998, pp 577 – 595	
/WS/	AR	Knights Technology, Inc. at-a-Glance, <a href="http://www.knights.com/ktglance.htm">http://www.knights.com/ktglance.htm</a> [18-pages]	
/WS/	AS	Work in Progress: Visual Specification of Knowledge Bases, Gavrilova, et al <a href="http://www.csa.ru/Inst/gorb_dep/artific/IA/ben-last.htm">http://www.csa.ru/Inst/gorb_dep/artific/IA/ben-last.htm</a> [8-pages]	
/WS/	AT	Object Space Solutions for a Connected World, <a href="http://www.ObjectsSpace.com/products/prodCatalyst.asp">http://www.ObjectsSpace.com/products/prodCatalyst.asp</a> [11-pages]	
/WS/	AU	Adventa Corporate Overview, <a href="http://www.adventact.com/corporat.htm">http://www.adventact.com/corporat.htm</a> [28 pages]	
/WS/	AV	Domain Manufacturing, <a href="http://www.domainmfg.com/mfg/starfire/industry-specific-auto.htm">http://www.domainmfg.com/mfg/starfire/industry-specific-auto.htm</a> [10 pages]	
/WS/	AW	HPL Corporate <a href="http://www.hpl.com/Corporate/history.htm">http://www.hpl.com/Corporate/history.htm</a> [6 pages]	
/WS/	AX	SEMY Engineering Home Page <a href="http://www.semy.com">http://www.semy.com</a> [9 pages]	
/WS/	AY	KLA-Tencor: Leading the Yield Management Market <a href="http://www.tencor.com">http://www.tencor.com</a> [15 pages]	
/WS/	AZ	Yield Dynamics, Inc. <a href="http://www.ydyn.com/products/yield.htm">http://www.ydyn.com/products/yield.htm</a> [9 pages]	

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
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/WS/	BA	Triant Our Products <a href="http://www.triant.com/top.html">http://www.triant.com/top.html</a> [10 pages]
/WS/	BB	Artificial Intelligence and Manufacturing: A Research Planning Report, Leslie D. Interrante August 8, 1997, <a href="http://sigmans.cs.umn.edu/sigmanwrk/report96.htm">http://sigmans.cs.umn.edu/sigmanwrk/report96.htm</a> [34 pages]
/WS/	BC	On the Epistemology and Management of Electronic Design Automation Knowledge, Scott et al, <a href="http://www.azstarnet.com/~scottmc/medak/Epistemology.htm">http://www.azstarnet.com/~scottmc/medak/Epistemology.htm</a> [17 pages]
/WS/	BD	Army Medical Knowledge Engineering System (AMKES) – A Three-Tier Knowledge Harvesting Environment, Merritt et al, Practical Applications of Java 1999 Conference Proceedings, <a href="http://amzi.harvard.net/articles/amkes_pajava99.htm">http://amzi.harvard.net/articles/amkes_pajava99.htm</a> [7 pages]
/WS/	BE	Final Model Business Case Report for the OSD CALS IWSDB Project, An MVP Joint Venture, ManTech International Corporation, December 2, 1994, Kidwell et al., <a href="http://www2.dcnin.com/cals/iwsdb/task07/html/a024/Fmodbus1.htm">http://www2.dcnin.com/cals/iwsdb/task07/html/a024/Fmodbus1.htm</a> [77 pages]
/WS/	BF	Computer Aided Knowledge Engineering, British Steel, Mackenzie, <a href="http://www.cogsys.co.uk/cape/CAKE-TestSite-BS.htm">http://www.cogsys.co.uk/cape/CAKE-TestSite-BS.htm</a> [12 pages]

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